

## **Pre-Award Proposal Checklist NIH R01**

Deadline(s): NEW: Feb. 5; Jun 5; Oct. 5 RENEWAL/RESUBMISSION: Mar. 5, Jul. 5, Nov. 5

Anticipated Project Start Date(s): December 1; April 1; July 1

**Budget:** 

Unlimited for up to 5 Years; however most typical is \$250,000/year. Direct costs over \$250,000/year require a detailed R&R Budget and Budget Narrative. Direct costs over

\$500,000/year require prior NIH approval.

The following checklist is for the Research Project Grant (Parent R01), PA-13-302. The R01 is the NIH's most commonly used grant program and is used to support a discrete, specified, circumscribed research project. When applying for a program-specific R01, please make sure that you and your assigned Grants Officer review the guidelines thoroughly for any additional requirements and/or variations. Your Grants Officer will download the Grants.gov package, but you are

responsible for providing the items below (Word or PDF format).

## Formatting (from the SF\_424 Guide):

Font: Arial (preferred), Helvetica, Palatino Linotype, or Georgia; 11 pt. font or larger; black ink only. The symbol font may be used to insert Greek letters or special characters.

**Type density:** no more than 6 lines per vertical inch and no more than 15 characters per inch.

Margins: minimum one-half inch

Spacing: space between paragraphs and headings in bold. Do not use headers/footers.

**Title Length:** The NIH limits project title character length to 200 characters, including spaces.

Scope: The NIH is comprised of Institutes and Centers that support specific areas of health-related research and almost all Institutes and Centers at the NIH fund R01 grants. Research grant applications are assigned to an Institute or Center based on receipt and referral guidelines, and many applications are assigned to multiple Institutes and Centers as interdisciplinary and multidisciplinary research is encouraged. Each Institute and Center maintains a website with funding opportunities and areas of interest. These should be reviewed carefully. Contact with an Institute or Center representative may help focus the research plan based on an understanding of the mission of the Institute or Center.

For additional information on the R01 application: <a href="http://grants1.nih.gov/grants/funding/r01.htm">http://grants1.nih.gov/grants/funding/r01.htm</a>

PLEASE MAKE SURE THAT YOUR IC OF INTEREST SUPPORTS THE R01 MECHANISM BEFORE YOU SUBMIT. IT IS ALSO RECOMMENDED THAT YOU CONTACT THE APPROPRIATE NIH PROGRAM OFFICER PRIOR TO PROPOSAL SUBMISSION IN ORDER TO ENSURE THE "BEST FIT".

ITEMS REQUIRED BY THE NIH: for individual component detail, the page numbers (below) reference the SF 424 Guide.*					
Research & Related Project Performance Site Locations: All project sites must be included					
Abstract/Project Summary: 30 line maximum, self-contained description of the project which includes a statement of					
objectives and methods to be employed (not a summary of accomplishments). State the application's broad, long-term					
objectives and specific aims, making reference to the mission of the agency. Will be public domain. p. I-76					
Appendix (attachment) cannot be used to circumvent page limits. pp.I-144—I-145					
Bibliography & References Cited: No page limit; list all authors in the sequence appearing in the publication. P. I-77					
Biographical Sketch (attachment; 5 page limit for each Senior/Key personnel) Please note that there are new					
requirements for the NIH Biosketch. Go here for complete instructions: <a href="http://grants.nih.gov/grants/guide/notice-">http://grants.nih.gov/grants/guide/notice-</a>					
files/NOT-OD-15-032.html. Applications with non-compliant biosketches will be rejected by the NIH. pp. I-88—I-89					
Budget Justification (attachment) List all personnel, effort, project role and contribution, no salary information. p. I-					
127**					
Cover Letter (attachment) Optional, but encouraged if a particular institute or study section is desired. pp. I-64—I-65					
<b>Equipment:</b> List of major equipment already available for project; list location and pertinent capabilities. p. I-78					
Facilities & Other Resources: Identify and describe facilities to be used (lab, animal, computer, etc.). Describe how the					
scientific environment in which the research will be done contributes to the probability of success. pp. I-77—I-78					
Human Subjects (if applicable: Protection of Human Subjects; Inclusion of Women & Minorities; Targeted/Planned					
Enrollment Table (form); Inclusion of Children) For complete, detailed instructions, see pp. I-135—I-136					
Introduction (for resubmissions or revisions only) 1 page limit. p. I-131					
Letters of Support (attachment) p. I-141					
Narrative (Public Relevance Statement): In 2 – 3 sentences, describe in language for lay audience the relevance of this					
research to public health. Will be public domain. p. I-77					



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Research Strategy (attachmer		significance, b. innov	ation, c. approa	ach) For complete, detailed
instructions, please see pp. I-1				
Resource Sharing Plan (attachi	, , , ,			
Specific Aims (attachment) 1 p	age limit; Concisely sta	te the project goals ar	nd summarize ex	pected outcome. <b>p. I-132</b>
Vertebrate Animals (attachme	nt; if applicable) addres	ss five points as listed	on <b>pp. I-137—I</b> -1	138
* For complete detailed instruction	s of each required cor	mponent, it is recomi	mended that you	u consult the SF_424 Guide.
There may be variations from the gu	ide, based on the Prog	ram Announcement.	<b>Your Grants Off</b>	ficer can help you with this
**Although the Modular Budget of	loes not require a de	etailed budget, a Ba	nner-compliant	budget is required before
expenditure of awarded funds. MU	IRC recommends that	a detailed budget be	prepared prior	to submission. All budgets
must be reviewed by the Grants Offi	cer.			
OTHER REQUIRED ITEMS:				
Authorization to Submit (ATS)	Form: The ATS form	must be routed for a	dministrative app	proval and signature <i>prior to</i>
proposal submission.				
Significant Financial Interest	Disclosure (SFID): In	accordance with the	revised federal	FCOI regulation, 42 CRF 50
subpart F, the PI and all Key Re	esearch personnel who	contribute in a subst	antive way to th	e development or execution
of a project must have an SFID	on file in the ORI (office	ce of Research Integri	ty) <b>before your a</b>	pplication can be submitted
to the NIH. Please contact A	my Melton, 304-696-4	365, amy.melton@ma	arshall.edu if you	u require assistance or have
questions concerning the comp	oletion of the SFID form	۱.		
Conflict of Interest (COI) Train	ing: In accordance wit	h the revised FCOI re	gulation, 42 CFR	50 subpart F, all PIs and Key
Research Personnel who contr	ribute in a substantive	way to the developm	ent or execution	of a project must complete
the CITI COI course upon recei	pt of award and before	account set up/expe	nditure of funds.	
If your project involves the following	***:			
Human Subjects:	submit protocol to:	Bruce Day	304-696-4303	day50@marshall.edu
Vertebrate Animals:	submit protocol to:	Monica Valentovic	304-696-7332	valentov@marshall.edu
Hazardous Materials:	submit protocol to:	Nathan Douglas	304-696-3461	douglas2@marshall.edu
Radioactive Materials:	submit protocol to:	William McCumbee	304-696-7366	mccumbee@marshall.edu
rDNA, Infectious Agents or				
Blood borne Pathogens	submit protocol to:	Don Primerano	304-696-7388	primeran@marshall.edu
***Protocols must be approved before	ore awarded funds may	v he expended.		

Upon notification of intent to apply, your Grants Officer will begin to prepare your Grants.gov package. Please send required documents to your Grants Officer as you complete them. Documents received at the last minute run the risk of being rejected by the NIH for non-compliance.

All Key Personnel, including Graduate Students\*\*\*\*, must have an eraCommons account or the NIH will reject the application. These accounts must be set up by Lisa Daniels (adkins244@marshall.edu) prior to proposal submission. It is the account holder's responsibility to ensure that the information in the eraCommons profile is accurate.

\*\*\*\*NEW: As of October 2, 2014, all graduate students working on an NIH-funded research project will need to have eraCommons ID in order to fulfill the upcoming reporting requirement which must include a section to describe how individual development plans are used to identify and promote the career goals of graduate students and postdoctoral researchers associated with the award.

## NOT-OD-14-113

It is also important to submit EARLY, as the NIH has eliminated the 2-day correction window (NOT-OD-11-035). For more information on post-submission materials, visit: NOT-OD-10-115 Applications cannot be changed, corrected or resubmitted once the deadline has expired. No exceptions. **SUBMIT YOUR APPLICATION EARLY!** 

APPLICANTS MUST FOLLOW ALL DEPARTMENTAL/COLLEGE PROCEDURES PERTAINING TO INTERNAL REVIEW. When applicable, proof of internal review must be attached before submission.

**QUESTIONS?** Contact your assigned Grants Officer